

JC09 Rec'd PCT/PTO 33 JUN 2005

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

| | |
|------------------------|---------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | BARRAU et al. |
| Group Art Unit | |
| Examiner Name | |
| Attorney Docket Number | FR 020135 |


☒ Please charge any required fee under §1.17(i) or §1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

- ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.
 - ☐ I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago.
- ☐ Applicant hereby petitions under §1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under §1.17(p) as indicated below, and I certify 1. or 2. as indicated above.
- ☐ A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than (3) months after the date of application or RCE, because I certify 1. or 2. as indicated above.
- ☐ A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No. _____ Filing Date _____ relied on for an earlier effective filing date under 35 U.S.C. 120).
- ☐ A copy of the U.S. patent(s) and patent application publication(s) in all U.S. national patent applications filed after June 30, 2003, and in all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003 under 37 CFR 1.491(b), are not required.
- ☐ A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).

The concise explanation of the relevance of any non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is that the document is/was:

- ☐ cited in the specification or considered in drafting the specification of this application;
- ☐ previously submitted or cited in the parent application (or in a related patent application Ser. No. _____ Filing Date: _____);
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print Type) | Steven Petersen | Registration No. (Attorney/Agent) | 31,287 |
| Signature |  | Date | June 2, 2005 |

CERTIFICATE OF MAILING OR TRANSMISSION

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| | | Application Number | |
| | | Filing Date | |
| | | First Named Inventor | BARRAU et al. |
| | | Art Unit | |
| | | Examiner Name | |
| Sheet | 1 | of | 1 |
| | | Attorney Docket Number | FR 020135 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | "A fully scalable 3D subband video codec," V. Bottreau, M. Bénétière, B. Pesquet-Popescu and B. Felts, Proceedings of IEEE International Conference on Image Processing, ICIP 2001, vol. 2, pp. 1017-1020, Thessaloniki, Greece, October 7-10, 2001). | |
| | | "Low bit-rate scalable video coding with 3D set partitioning in hierarchical trees (3D-SPIHT)", K.Z. Xiong and W.A. Pearlman, IEEE Transactions on Circuits and Systems for Video Technology, vol. 10, n°8, December 2000, pp. 1374-1387 | |
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| Examiner Signature | Date Considered | |
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
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